# Capacity calculation assumptions and results <br> by Joe Hummer, Mobility and Safety <br> For James Upchurch, TPD <br> Updated August 24, 2021 

## General

We made a number of assumptions to arrive at the recommended capacity values below. These assumptions were from the "NCLOS Program 2010 Update" (released in June 2013) as much as possible. The general assumptions which applied to most or all of the types of road included that:

- LOS D and LOS E capacities are shown in vehicles per day in two directions;
- In uninterrupted facilities level of service (LOS) D was at a density of 35 vpm ;
- In interrupted facilities LOS D was at a control delay of $55 \mathrm{sec} / \mathrm{veh}$;
- In uninterrupted facilities LOS E was at a density of 45 vpm or a demand to capacity $(\mathrm{v} / \mathrm{c})$ of 1.0 ;
- In interrupted facilities LOS E was at a control delay of $80 \mathrm{sec} / \mathrm{veh}$ or a v/c of 1.0 ;
- At intersections, 15 percent of the approach demand turns left and 15 percent turns right;
- The region of NC (coastal vs piedmont vs mountains) does not matter;
- For terrain, level $=0 \%$ grade, rolling $=+3 \%$ grade, and mountainous $=+5 \%$ grade;
- Lanes are 12 feet wide; and
- The proportion of the daily traffic in the peak hour (K) was 0.09 .

The calculations followed Version 6 (2016) of Highway Capacity Manual (HCM) methods unless otherwise noted.

## Freeway

This uninterrupted facility is defined in the same way as the SPOT definition of "freeway". We used the freeway portion of HCM Chapter 12. In that chapter, capacity and LOS depend primarily on trucks moving up grades. Key assumptions included:

- The base free flow speed = speed limit +10 mph ; and
- The right-side lateral clearance was 10 ft .

The difference between urban, suburban, and rural was captured in a few variables:

- The speed limit was 70 mph in a rural area, 65 mph in a suburban area, and 60 mph in an urban area;
- The total ramp density was 0.5 per mile in a rural area and 1.0 per mile in an urban or suburban area;
- The directional split in the peak hour (D) was 0.65 in a rural area and 0.60 in an urban or suburban area; and
- The peak hour factor (PHF) was 0.85 rural in a rural area and 0.90 in an urban or suburban area.

|  |  | Two lanes per direction |  |  | Three lanes per direction |  |  | Four lanes per direction |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Terrain | Percent trucks | Urban | Suburban | Rural | Urban | Suburban | Rural | Urban | Suburban | Rural |
| Level | 5 | 65000 | 66300 | 57800 | 97400 | 99400 | 86700 | 130000 | 132600 | 115600 |
|  | 10 | 62200 | 63300 | 55200 | 93300 | 95200 | 82900 | 124400 | 126900 | 110600 |
|  | 15 | 59800 | 60900 | 53200 | 89800 | 91500 | 79800 | 119600 | 122000 | 106300 |
|  | 20 | 57800 | 58900 | 51300 | 86700 | 88300 | 77100 | 115600 | 117800 | 102700 |
|  | 25 | 55700 | 56700 | 49400 | 83500 | 85200 | 74200 | 111500 | 113500 | 99000 |
|  | 30 | 53500 | 54600 | 47700 | 80400 | 82000 | 71500 | 107200 | 109300 | 95400 |
|  | 35 | 51700 | 52600 | 45800 | 77400 | 79100 | 68900 | 103300 | 105400 | 91800 |
| Rolling | 5 | 60400 | 61700 | 53700 | 90700 | 92400 | 80700 | 120900 | 123300 | 107500 |
|  | 10 | 57800 | 58900 | 51300 | 86700 | 88300 | 77100 | 115600 | 117800 | 102700 |
|  | 15 | 55400 | 56500 | 49200 | 83100 | 84800 | 74000 | 110900 | 113100 | 98600 |
|  | 20 | 53300 | 54400 | 47400 | 80200 | 81700 | 71100 | 106900 | 108900 | 94900 |
|  | 25 | 51300 | 52400 | 45600 | 77000 | 78500 | 68500 | 102800 | 104800 | 91500 |
|  | 30 | 48900 | 49800 | 43400 | 73300 | 74800 | 65100 | 97800 | 99800 | 87000 |
|  | 35 | 46700 | 47400 | 41400 | 70000 | 71300 | 62200 | 93300 | 95000 | 82900 |
| Mountainous | 5 | 56300 | 57200 | 49900 | 84400 | 85900 | 75000 | 112600 | 114600 | 100000 |
|  | 10 | 53500 | 54600 | 47700 | 80400 | 82000 | 71500 | 107200 | 109300 | 95400 |
|  | 15 | 51300 | 52400 | 45600 | 77000 | 78500 | 68500 | 102800 | 104800 | 91500 |
|  | 20 | 49300 | 50200 | 43800 | 73900 | 75400 | 65800 | 98700 | 100600 | 87700 |
|  | 25 | 47400 | 48300 | 42200 | 71100 | 72600 | 63200 | 95000 | 96900 | 84400 |
|  | 30 | 44600 | 45400 | 39700 | 66900 | 68100 | 59500 | 89300 | 90900 | 79300 |
|  | 35 | 42000 | 43000 | 37400 | 63100 | 64400 | 56100 | 84300 | 85900 | 74900 |

LOS E

|  |  | Two lanes per direction |  |  | Three lanes per direction |  |  | Four lanes per direction |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Terrain | Percent trucks | Urban | Suburban | Rural | Urban | Suburban | Rural | Urban | Suburban | Rural |
| Level | 5 | 74100 | 75000 | 65300 | 111100 | 112600 | 98100 | 148100 | 150000 | 130800 |
|  | 10 | 70900 | 71900 | 62600 | 106300 | 107800 | 93800 | 141700 | 143700 | 125300 |
|  | 15 | 68100 | 69100 | 60200 | 102200 | 103700 | 90400 | 136500 | 138100 | 120500 |
|  | 20 | 65900 | 66700 | 58100 | 98700 | 100000 | 87200 | 131700 | 133500 | 116400 |
|  | 25 | 63500 | 64300 | 56100 | 95200 | 96500 | 84100 | 126900 | 128700 | 112100 |
|  | 30 | 61100 | 61900 | 54000 | 91700 | 92800 | 81000 | 122200 | 123900 | 108000 |
|  | 35 | 58900 | 59600 | 52000 | 88300 | 89400 | 77900 | 117800 | 119400 | 104100 |
| Rolling | 5 | 68900 | 69800 | 60900 | 103300 | 104800 | 91300 | 137800 | 139600 | 121700 |
|  | 10 | 65900 | 66700 | 58100 | 98700 | 100000 | 87200 | 131700 | 133500 | 116400 |
|  | 15 | 63300 | 64100 | 55900 | 94800 | 96100 | 83800 | 126500 | 128100 | 111800 |
|  | 20 | 60900 | 61700 | 53700 | 91300 | 92600 | 80700 | 121700 | 123300 | 107500 |
|  | 25 | 58500 | 59300 | 51600 | 87800 | 89100 | 77600 | 117200 | 118700 | 103400 |
|  | 30 | 55700 | 56500 | 49200 | 83500 | 84600 | 73800 | 111500 | 113000 | 98500 |
|  | 35 | 53100 | 53900 | 46800 | 79600 | 80700 | 70400 | 106300 | 107800 | 93800 |
| Mountainous | 5 | 64200 | 65000 | 56600 | 96100 | 97400 | 85000 | 128100 | 130000 | 113300 |
|  | 10 | 61100 | 61900 | 54000 | 91700 | 92800 | 81000 | 122200 | 123900 | 108000 |
|  | 15 | 58500 | 59300 | 51600 | 87800 | 89100 | 77600 | 117200 | 118700 | 103400 |
|  | 20 | 56300 | 56900 | 49600 | 84300 | 85400 | 74500 | 112400 | 113900 | 99300 |
|  | 25 | 54100 | 54800 | 47700 | 81100 | 82200 | 71600 | 108100 | 109600 | 95600 |
|  | 30 | 50900 | 51500 | 45000 | 76300 | 77200 | 67400 | 101700 | 103100 | 89900 |
|  | 35 | 47900 | 48500 | 42400 | 72000 | 73000 | 63600 | 95900 | 97200 | 84800 |

## Expressway

This uninterrupted facility is defined in the same way as the SPOT definition of "multilane segment". We used the multilane highway portion of HCM Chapter 12. This facility has either two or three through lanes per direction. In Chapter 12, capacity and LOS depend primarily on trucks moving up grades. Key assumptions included:

- The PHF was 0.9; and
- The D was 0.6.

Suburban and urban expressways were assumed to be identical. They differed from rural expressways in a few variables:

- The speed limit was 55 mph in urban and suburban areas and 60 mph in rural areas;
- The access point density was 25 per mile in urban and suburban areas and 8 per mile in rural areas; and
- The lateral clearance was 8 feet in urban and suburban areas and 12 feet in rural areas.

LOS D

|  |  | Two lanes per direction |  | Three lanes per direction |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Terrain | Percent trucks | Urban or suburban | Rural | Urban or suburban | Rural |
| Level | 5 | 55700 | 62200 | 83700 | 93400 |
|  | 10 | 53300 | 59600 | 80200 | 89500 |
|  | 15 | 51300 | 57400 | 77000 | 86100 |
|  | 20 | 49600 | 55400 | 74400 | 83100 |
|  | 25 | 47800 | 53300 | 71700 | 80100 |
|  | 30 | 45900 | 51300 | 69100 | 77100 |
|  | 35 | 44300 | 49400 | 66500 | 74300 |
| Rolling | 5 | 51900 | 58000 | 78000 | 87000 |
|  | 10 | 49600 | 55400 | 74400 | 83100 |
|  | 15 | 47600 | 53100 | 71500 | 79800 |
|  | 20 | 45700 | 51100 | 68700 | 76800 |
|  | 25 | 44100 | 49300 | 66300 | 73900 |
|  | 30 | 42000 | 46900 | 63000 | 70300 |
|  | 35 | 40000 | 44600 | 60000 | 67100 |
| Mountainous | 5 | 48300 | 53900 | 72400 | 80900 |
|  | 10 | 45900 | 51300 | 69100 | 77100 |
|  | 15 | 44100 | 49300 | 66300 | 73900 |
|  | 20 | 42400 | 47200 | 63500 | 70900 |
|  | 25 | 40700 | 45400 | 61100 | 68300 |
|  | 30 | 38300 | 42800 | 57400 | 64200 |
|  | 35 | 36100 | 40400 | 54300 | 60600 |

LOSE

|  |  | Two lanes per direction |  | Three lanes per direction |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Terrain | Percent trucks | Urban or suburban | Rural | Urban or suburban | Rural |
| Level | 5 | 65600 | 72000 | 98400 | 107900 |
|  | 10 | 62800 | 68900 | 94200 | 103300 |
|  | 15 | 60400 | 66300 | 90700 | 99400 |
|  | 20 | 58300 | 64000 | 87500 | 96000 |
|  | 25 | 56200 | 61700 | 84400 | 92500 |
|  | 30 | 54100 | 59400 | 81200 | 89100 |
|  | 35 | 52200 | 57200 | 78300 | 85900 |
| Rolling | 5 | 61100 | 67000 | 91600 | 100500 |
|  | 10 | 58300 | 64000 | 87500 | 96000 |
|  | 15 | 56000 | 61500 | 84000 | 92200 |
|  | 20 | 53900 | 59200 | 80900 | 88700 |
|  | 25 | 51900 | 56900 | 77900 | 85400 |
|  | 30 | 49400 | 54200 | 74100 | 81300 |
|  | 35 | 47100 | 51700 | 70600 | 77500 |
| Mountainous | 5 | 56800 | 62300 | 85200 | 93500 |
|  | 10 | 54100 | 59400 | 81200 | 89100 |
|  | 15 | 51900 | 56900 | 77900 | 85400 |
|  | 20 | 49800 | 54600 | 74700 | 82000 |
|  | 25 | 47900 | 52600 | 71900 | 78900 |
|  | 30 | 45100 | 49400 | 67600 | 74100 |
|  | 35 | 42500 | 46700 | 63800 | 70000 |

## Two-Lane Highway Segment

This uninterrupted facility is defined in the same way as the SPOT definition of "two-lane segment". This facility has one through lane per direction. We used a LOS procedure developed during NCHRP 17-65 which will be in version 6.1 of the HCM. Capacity and LOS depend primarily on faster vehicles platooning behind trucks and other slower vehicles. Key assumptions included:

- In consultation with the NCHRP 17-65 Principal Investigator (Scott Washburn at the University of Florida) we set the LOS E to F boundary at 16 followers/mi/ln for facilities with speeds of 50 mph or above or 20 followers/mi/ln for facilities with speeds below 50 mph ;
- Rural, suburban, and urban areas were identical;
- There were zero passing zones or lanes;
- The segment length was two miles;
- Vertical class and alignment coefficients were based on Table 2-14 with class 1 for level terrain, class 2 for rolling terrain, and class 4 for mountainous terrain;
- 5 percent trucks;
- The PHF was 0.9; and
- D was 0.6.

LOS D

| Terrain | Speed limit, mph | Capacity, vpd |
| :---: | :---: | :---: |
| Level | 45 | 12100 |
|  | 50 | 13300 |
|  | 55 | 14400 |
|  | 45 | 12100 |
|  | 50 | 13200 |
|  | 55 | 14300 |
|  | $50 u n t a i n o u s ~$ | 45 |

LOS E

| Terrain | Speed limit, mph | Capacity, vpd |
| :---: | :---: | :---: |
| Level | 45 | 16100 |
|  | 50 | 17600 |
|  | 55 | 19200 |
| Rolling | 45 | 16100 |
|  | 50 | 17500 |
|  | 55 | 19000 |
|  | $50 u n t a i n o u s$ | 45 |

## Boulevard

This interrupted facility is defined in the same way as the SPOT definition of "arterial". This facility has two, three, or four through lanes per direction. Capacity and LOS are defined for a through movement at the stop bar on the way into an isolated, fixed-time signalized intersection. The calculations were made using Chapter 19 of the HCM. Key assumptions included:

- Speed limit does not matter;
- Urban vs suburban vs rural does not matter;
- The intersection is not in a central business district;
- All turns are made from exclusive turn lanes;
- 5 percent trucks;
- There were no stopping buses near the intersection;
- There was no on-street parking near the intersection;
- The signal cycle was 120 sec long;
- Total lost time was 4 sec per phase;
- Typical green time for the through movement was 35 sec long;
- Yellow and all-red for the through phase was 7 sec long;
- Ideal saturation flow was 1900 pcphpl;
- The PHF was 0.9; and
- D was 0.6.

We also calculated capacities for cases where the through movement receives a longer green time of 50 sec and show those values below. This could be the case at a meeting of an important boulevard with a less important major thoroughfare, minor thoroughfare, or local street. Note that if the through movement on the boulevard receives a longer green time the through movement for the intersecting street must receive a smaller green time, and capacity values are provided for those smaller green time cases below. It is not possible for both intersecting roadways to receive longer green times, or for one street to receive a longer green time and the other street to receive a typical green time.

LOS D, High Share of Green

| Terrain | 2 lanes ea direction | 3 lanes ea direction | 4 lanes ea direction |
| :---: | :---: | :---: | :---: |
| Level | 34800 | 50600 | 68000 |
| Rolling | 34300 | 49800 | 67000 |
| Mountainous | 33900 | 49300 | 66300 |

LOS D, Typical Share of Green

| Terrain | 2 lanes ea direction | 3 lanes ea direction | 4 lanes ea direction |
| :---: | :---: | :---: | :---: |
| Level | 23700 | 34800 | 47200 |
| Rolling | 23300 | 34300 | 46500 |
| Mountainous | 23000 | 33900 | 46000 |

LOS E, High Share of Green

| Terrain | 2 lanes ea direction | 3 lanes ea direction | 4 lanes ea direction |
| :---: | :---: | :---: | :---: |
| Level | 36200 | 51800 | 69100 |
| Rolling | 35700 | 51000 | 68000 |
| Mountainous | 35300 | 50500 | 67300 |

LOS E, Typical Shared of Green

| Terrain | 2 lanes ea direction | 3 lanes ea direction | 4 lanes ea direction |
| :---: | :---: | :---: | :---: |
| Level | 25500 | 36900 | 49500 |
| Rolling | 25100 | 36300 | 48800 |
| Mountainous | 24800 | 35900 | 48300 |

## Reduced Conflict Boulevard

This facility is the street with the u-turn crossovers at a reduced conflict intersection, also known as a superstreet, synchronized street, restricted crossing u-turn intersection, or a j-turn intersection. The calculation is the same as for the boulevard, above, except that the signal of interest, where capacity is reached, is at the u-turn crossover and the typical green time for the through movement at that spot is 77 seconds.

LOS D, Typical Share of Green

| Terrain | 2 lanes ea direction | 3 lanes ea direction | 4 lanes ea direction |
| :---: | :---: | :---: | :---: |
| Level | 38200 | $54700^{*}$ | $73000^{*}$ |
| Rolling | 37600 | $53900^{*}$ | $71900^{*}$ |
| Mountainous | 37200 | $53400^{*}$ | $71100^{*}$ |
| v/c exceeds 1.0 below 55 sec/veh delay, so LOS D and E demands are equal. |  |  |  |

LOS E, Typical Share of Green

| Terrain | 2 lanes ea direction | 3 lanes ea direction | 4 lanes ea direction |
| :---: | :---: | :---: | :---: |
| Level | 38300 | $54700^{*}$ | $73000^{*}$ |
| Rolling | 37700 | $53900^{*}$ | $71900^{*}$ |
| Mountainous | 37300 | $53400^{*}$ | $71100^{*}$ |
| ${ }^{*}$ v/c exceeds 1.0 below 55 sec/veh delay, so LOS D and E demands are equal. |  |  |  |

## Multilane Major Thoroughfare

This facility looks much like a boulevard, and the capacity calculation was just like that for the boulevard as described above. The tables for typical share of green time below are identical to those for a boulevard that receives a typical share of green time. The multilane major throughfare does not carry the high levels of long distance through traffic that the boulevard does, however, so it would never receive the high shares of green time shown in the tables above for the boulevard. In fact, where a multilane major thoroughfare intersects with a boulevard, the boulevard could well receive a high share of green time which means that this facility would receive a low share of green time, assumed as 20 seconds to create the tables below.

LOS D, Typical Share of Green

| Terrain | 2 lanes ea direction | 3 lanes ea direction | 4 lanes ea direction |
| :---: | :---: | :---: | :---: |
| Level | 23700 | 34800 | 47200 |
| Rolling | 23300 | 34300 | 46500 |
| Mountainous | 23000 | 33900 | 46000 |

LOS D, Low Share of Green

| Terrain | 2 lanes ea direction | 3 lanes ea direction | 4 lanes ea direction |
| :---: | :---: | :---: | :---: |
| Level | 12100 | 18400 | 25600 |
| Rolling | 11900 | 18100 | 25200 |
| Mountainous | 11700 | 17900 | 24900 |

LOS E, Typical Shared of Green

| Terrain | 2 lanes ea direction | 3 lanes ea direction | 4 lanes ea direction |
| :---: | :---: | :---: | :---: |
| Level | 25500 | 36900 | 49500 |
| Rolling | 25100 | 36300 | 48800 |
| Mountainous | 24800 | 35900 | 48300 |

LOS E, Low Share of Green

| Terrain | 2 lanes ea direction | 3 lanes ea direction | 4 lanes ea direction |
| :---: | :---: | :---: | :---: |
| Level | 14700 | 21600 | 29200 |
| Rolling | 14500 | 21200 | 28700 |
| Mountainous | 14300 | 21000 | 28400 |

## Two-Lane Major Thoroughfare

In terms of capacity, this facility is identical to the multilane major thoroughfare described above except that it has just one through lane per direction.
LOS D, Typical Share of Green

| Terrain | Capacity, vpd |
| :---: | :---: |
| Level | 11600 |
| Rolling | 11400 |
| Mountainous | 11200 |


| LOS D, Low Share of Green |
| :--- |
| Terrain |
| Capacity, vpd |
| Level |
| Rolling |
| Mountainous |

LOS E, Typical Share of Green

| Terrain | Capacity, vpd |
| :---: | :---: |
| Level | 12900 |
| Rolling | 12700 |
| Mountainous | 12600 |

LOS E, Low Share of Green

| Terrain | Capacity, vpd |
| :---: | :---: |
| Level | 7200 |
| Rolling | 7100 |
| Mountainous | 7000 |

## Minor Thoroughfare

In terms of capacity, this facility is the same as the two-lane major thoroughfare as described above except that there is a shared through and right turn lane rather than an exclusive through lane.
LOS D, Typical Share of Green

| Terrain | Capacity, vpd |
| :---: | :---: |
| Level | 9200 |
| Rolling | 9100 |
| Mountainous | 9000 |

LOS D, Low Share of Green

| Terrain | Capacity, vpd |
| :---: | :---: |
| Level | 4400 |
| Rolling | 4300 |
| Mountainous | 4200 |

LOS E, Typical Share of Green

| Terrain | Capacity, vpd |
| :---: | :---: |
| Level | 10300 |
| Rolling | 10200 |
| Mountainous | 10000 |

LOS E, Low Share of Green

| Terrain | Capacity, vpd |
| :---: | :---: |
| Level | 5800 |
| Rolling | 5700 |
| Mountainous | 5600 |

